

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office

Atty.  
Dkt. No.

M#

Client R. f.

0306031

T4KM-03S0447

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: Tooru SUGIYAMA et al.

Appln. No.: UNASSIGNED

Filing Date: September 22, 2003

Date: September 22, 2003

Page

1

of

1

Examiner: UNKNOWN

Group Art Unit: UNKNOWN

## U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
SP	AR	4,807,954	02/1989	Oyamada et al.			
SP	BR	5,332,690	07/1994	Cho et al.			
SP	CR	5,677,920	10/1997	Waarts et al.			
SP	DR	5,696,865	12/1997	Beeson et al.			
SP	ER	5,902,033	05/1999	Levis et al.			
SP	FR	6,139,156	10/2000	Okamori et al.			
	GR						
	HR						
	IR						
	JR						
	KR						
	LR						
	MR						
	NR						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Endose	No
SP	OR	2002-267861	09/2002	Japan	Sugiyama	X			X
	PR								
	QR								
	RR								
	SR								
	TR								
	UR								
	VR								
	WR								
	XR								

## OTHER (including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

SP	YR	Wenger et al, "Design and Fabrication of Monolithic Optical Spot Size Transformers (MOST's) for Highly Efficient Fiber-Chip Coupling," 8217 Journal of Lightwave Technology, October 12, 1994, No. 10, pages 1782-1790							
	ZR								
	AAR								
	BBR								

Examiner

/Sung Pak/

Date Considered:

09/19/2006

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office



Atty. Dkt. No.	M#	Client Ref.
	008312-0306031	T4KM-03S0447

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: Tooru SUGIYAMA et al.

Appln. No.: 10/665,437

Filing Date: September 22, 2003

Examiner: UNKNOWN Group Art Unit: 2828

Date: December 6, 2005

Page 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR					
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
SP	OR	JP 2001-291922	10/2001	Japan	Kondo et al.	x			X
	PR								
	QR								
	RR								
	SR								
	TR								
	UR								
	VR								
	WR								
	XR								

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

SP	YR	Japanese Office Action dated November 8, 2005 for Appln. No. 2002-347506			
	ZR				
	AAR				
	BBR				

Examiner /Sung Pak/ Date Considered: 09/19/2006

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.